PRUDENTIAL INTERNATIONAL

Application Form

Not for use in the United Kingdom, Spain, Belgium or France

Notes to help you

This form should only be used for applications for International Prudence Bond or International Prudence Bond (Capital Redemption option) issued by Prudential International Assurance plc ("Prudential International").

This form is divided into sections. **Notes** are provided at the end of each section to help you to complete the section.

If you have any queries while completing this application please speak to your Financial Adviser or call 0808 234 2200 where an operator will be happy to help – calls may be recorded or monitored for quality, staff training, dispute resolution and/or security purposes.

Please use black ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled – do not use correction fluid as this will invalidate your application.

Please send the completed form to **Prudential International, Stirling, FK9 4UE.**

A checklist is provided below to help ensure that all relevant sections have been completed. Failure to provide all relevant information will result in a delay in this application being processed.

Please read the key Features booklet and the PruFund Range of Funds: Guarantee options before completing this application.

▶ These signs will direct you to the next relevant sections you need to complete.

Please select bond structure: *(tick one box only)*

Life Assured option	☐
Capital Redemption option	☐

Ownership details

Please indicate type of investment and only select one of the Sections 1, 2 or 3:

Personal investment *(including Gift Trust)*	☐	▶ *Go to Section 1*
Trust/Trustee investment *(including all Trusts, SIPP & QROPS investments)*	☑	▶ *Go to Section 2*
Company investment	☐	▶ *Go to Section 3*

Applicant checklist

Section 1 – Tax Residency questions have been answered in full.	☐
Section 1, 2 or 3 – has been completed and the Declaration of Beneficial Ownership (in section 9) has been completed (if applicable).	☐
Section 2 & 3 – Please also complete the Tax Residency Self-Certification Form INVF11804.	☐
Section 4 – has been fully completed. Part 4C is required under Money Laundering Requirements.	☐
Section 7 – has been completed if regular withdrawals are required.	☐
Section 9 – Declaration section has been read and signed.	☐
Section 10 – Declaration of residence outside Ireland has been fully completed and signed.	☐

Section 1 – Personal investment

How many applicants are applying for the bond?[1]

One applicant *(Complete Part A only below)* ☐

Two applicants[2] *(Complete Parts A & B below)* ☐

Part A – First (or only) Applicant

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Address (*your habitual residence*)

Postcode

Correspondence address

Postcode

Telephone number

Email address

Date of birth D D M M Y Y Y Y

Sex Male ☐ Female ☐

Nationality

Please list the country or countries in which you are resident for tax purposes together with any tax Identification Number(s) (TIN), if relevant[3]

Country/Countries of tax residence	TIN

If no TIN has been supplied, tick this box if this is because the country of tax residence does not issue TINs to its residents ☐

If there is another reason why a TIN is not available, please state here:

If you are a US citizen or hold a US passport or green card, you will also be considered tax resident in the US even if you live outside the US.

Profession (*If retired also state previous profession.)*

If retired how long have you been retired?

Job title including industry

Do you have any policies with Prudential International? Yes ☐ No ☐

Policy number(s)

Part B – Second Applicant

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Address (*your habitual residence*)

Postcode

Correspondence address

Postcode

Telephone number

Email address

Date of birth D D M M Y Y Y Y

Sex Male ☐ Female ☐

Nationality

Please list the country or countries in which you are resident for tax purposes together with any tax Identification Number(s) (TIN), if relevant[3]

Country/Countries of tax residence	TIN

If no TIN has been supplied, tick this box if this is because the country of tax residence does not issue TINs to its residents ☐

If there is another reason why a TIN is not available, please state here:

If you are a US citizen or hold a US passport or green card, you will also be considered tax resident in the US even if you live outside the US.

Profession (*If retired also state previous profession.)*

If retired how long have you been retired?

Job title including industry

Do you have any policies with Prudential International? Yes ☐ No ☐

Policy number(s)

Notes

1 Please note we cannot accept an application from anyone who is under 18 years old.

2 If there are two applicants, we will issue the bond in their names as joint owners (in other words, as joint legal owners of the bond).

3 If you do not know your country of tax residence, please ask your Financial Adviser.

Go to Section 4.

Section 2 – Trust/Trustee investment

Notes to help you: For Trust/Trustee investments, Prudential International will take the country of tax residence of the Trust as the residency of the Trust for any obligatory tax reporting/paying purposes.

2. Questions about the Trust

1. What is the name of the Trust?

The Momentum Isle of Man Pension Plan re.

2. What is the country of tax residence of the Trust?

Isle of Man

Please ALSO complete the Tax Residency Self-Certification Form – INVF11804 and submit with this application

3. What name do you want us to use for Trust correspondence?

Momentum Pensions Limited

4. What address do you want us to use for the Trust correspondence?

Momentum Pensions Limited,
20 Athol Street, Douglas, Isle of Man
Postcode IM1 1JA

5. Do any of the Trustees already have any policies with Prudential International? Yes ☐ No ☑

This includes any policy a Trustee may own personally as well as any policy a Trustee may own in a Trustee capacity.

Please include policy numbers below.

6. Do the Trustees' investment powers permit the proposed investment into a bond?* Yes ☑ No ☐

7. Do any of the beneficial owners of the trust[4] have an interest of 25% or more of the trust's assets? If Yes please provide full details of beneficial owners in section 9. Yes ☑ No ☐

* We cannot accept the application if the Trustees' investment powers do not permit investment into a bond.

2(a). Trustee details – If a company is acting as a Trustee, please complete the Trust Company section below.

1st Trustee:

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Address (your habitual residence)

Postcode

2nd Trustee[5]:

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Address (your habitual residence)

Postcode

2(b). Trust Company – complete this section if a company is acting as a Trustee of the Trust.

Full name of company

Momentum Pensions Limited

Company number

122471C

Country of incorporation

Isle of Man

Reference number *(if a designated body)*

DA925

E-mail address

iom@momentumpensions.com

Registered office address

20 Athol Street, Douglas,
Isle of Man
Postcode IM1 1JA

Telephone number

+44 (0) 1624 619751

Fax number

+44 (0) 1624 612479

Notes

4 A beneficial owner could be an individual beneficiary, a settlor of the trust or, where a corporate body is a beneficiary or settlor, a person who controls that corporate body and/or has an interest in it of 25% or more.

5 If there are additional Trustees, please photocopy Section 2, complete and send in with this application.

Section 2 – Trust/Trustee investment – continued

The Trust Company Officers – this information must be provided in full.[6]

Surname: Gaywood
Full forename(s): Mark John
Mr ☑ Mrs ☐ Miss ☐ Ms ☐ Other
Position in the company: Director

Surname: Davies
Full forename(s): Stewart John
Mr ☑ Mrs ☐ Miss ☐ Ms ☐ Other
Position in the company: Director

Go to Section 4.

Notes

6 If any other officers in the Trust Company are likely to be dealing with policy transactions in future, please give details (including signatures) on a separate sheet.

Section 3 – Company investment

Notes to help you: For company investments, Prudential International will take the country of tax residence as the company's country of residence for any obligatory tax reporting/paying purposes.

Do any of the beneficial owners of the trust have an interest of 25% or more of the trust's assets?
If Yes, please provide full details of beneficial owners in section 9. Yes ☐ No ☐

Full name of company

Company number

Registered office address

Postcode

Country of incorporation

Country of tax residence

Please ALSO complete the Tax Residency Self-Certification Form – INVF11804 and submit with this application

Address for correspondence

Postcode

Number of registered directors

Reference number *(if a designated body)*

Telephone number

Fax number

Email address

Does the company already have any policies with Prudential International? Yes ☐ No ☐

Policy number(s)

Authorised Signatories for correspondence – this information must be provided in full[7].

Surname: **** ASL for Momentum already held on file with Pru.****
Full forenames
Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other
Position in the company

Surname
Full forenames
Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other
Position in the company

Notes

7 The persons named must have the authority of their company to make this application. If additional officers of the company are likely to be dealing with policy transactions in the future, please attach an Authorised Signatory list with this application (full name, position in company and specimen signature).

Normally for any policy transactions we will require instructions to be signed by two Authorised Signatories of the company. If the company wants us to operate on a different basis, please notify us accordingly.

Go to Section 4.

Section 4 – Investment & Payment Details, Source of Funds and Origin of Wealth

Part A[8]

Number of policies required: *(Standard 20)*

Part B[9]

Amount of your payment *(min £20,000, Euro €25,000 or US$35,000)*

Please note that interest will not be credited to payments received prior to the receipt of all documentation requirements.

Your payment is being made by: *(method and source)*

- Telegraphic transfer ✔
- Cheque *(payable to Prudential International)* ☐
- Bankers draft *(payable to Prudential International)* ☐

If your payment is being made by telegraphic transfer or bankers draft, please provide details of the account from which the payment has been drawn below. Please note that the source of funds must be an account held in the name of the applicant(s).

Name of bank or building society: Barclays Private Clients Limited

Account name: Momentum Pensions Client Account

Address: Victoria Street, Douglas, Isle of Man

Postcode: IM99 1AJ

Account number: 0 3 1 9 7 2 7 1

Sort code: 2 0 – 2 6 – 7 4

IBAN: GB50BARC20267403197271 (Sterling)

SWIFT-BIC: BARCGB22

Part C – **Source of your payment/origin of wealth**

The following information is required under Anti-Money Laundering Regulations to safeguard against unlawful investment[10]**.**
Please complete parts B & C below.

Part B. Source(s) of your payment

Bank or building society deposit ✔ Other (please specify)

Part C. Origin of wealth

(i) Borrowings, investment income, sale of property, sale of stockmarket investments *(please specify)*

Other *(please specify)*

Monies received from recognised pension scheme(s). Please refer to attached Momentum application for further info.

(ii) Territory your payment was made from: UK ✔ Other EU Country ☐ Other *(please specify)*

Notes

8 You can choose to have your bond issued as one policy or as a group of policies (up to a maximum of 100). We will issue the bond as 20 policies unless you tell us here how many you wish to have.

9 The maximum total investment across the PAC With-Profits Funds and PruFund Range of Funds is £1,000,000, Euro €1,500,000 or US$1,500,000. There is no maximum for the other funds.

10 We may need to request additional information such as personal identification and address verification to comply with our legal and regulatory obligations.

Now complete all the remaining sections.

Section 5 – Selected currency or currencies

You can choose to use different currencies for different aspects of your bond. Please select currencies using part 1 **or** 2 below to indicate how the bond is to be set up. If this section is left blank we will assume UK £ for all aspects. **Currency conversion does not take place until the contract issues. There is no guarantee of the conversion rate**.

1. One currency for all aspects[11a]

UK £ ☐ US $ ☐ Euro € ☐

OR

2. Different currencies – all boxes must be completed[11b]

(a) Limit currency – *The currency we use for charges, minimum and maximum limits and allocation factors.*

UK £ ☐ US $ ☐ Euro € ☐

(b) Investment currency – *The currency in which you make your payment into the bond.*

UK £ ☐ US $ ☐ Euro € ☐ Other ☐

(c) Cash in benefit currency – *For payment of any cash-in benefits, including regular withdrawals you may take.*

UK £ ☐ US $ ☐ Euro € ☐ Other ☐

(d) Death/maturity benefit currency – *For payment of death/maturity benefit.*

UK £ ☐ US $ ☐ Euro € ☐ Other ☐

Notes

11a Complete if you wish to choose one currency to apply for all aspects of your bond. This does not include fund currency (this will depend on which funds you wish to invest in when completing Section 6).

11b Complete if you wish to select different currencies for different aspects of your bond. If this section is left blank we will assume UK £ for all aspects. All payments from the bond, including any from the PruFund Protected Funds, will be paid in the Cash-In Benefit Currency. The Limit Currency can only be UK £, US $ or Euro €.

Section 6 – Fund choice[12,13]

Fund name	Fund currency £, €, $	% of your total payment into the bond
		Total = 100%

Notes

12 Minimum investment in each fund is £500, US $750, or Euro €750. You can invest in up to 10 funds. If necessary, photocopy this section, complete and send in with this application. To help make your initial fund choice, please contact your Financial Adviser.

You can select only one fund from each of these groups: the PAC With-Profits Funds, the PruFund Growth Funds, the PruFund Cautious Funds and the PruFund Protected Funds. For example, you cannot invest in the PruFund Growth (Sterling) Fund and the PruFund Growth (US Dollar) Fund. However, you may choose one fund from each group, in either the same or different currencies.

Investment in any of the PruFund Range of Funds will initially be invested in the PruFund Account(s) corresponding to your chosen fund(s). Your investment will be switched into your chosen fund(s) at the next available PruFund Quarter Date.

There are also switching restrictions on the PruFund Range of Funds.

Please refer to "Your guide to investing in the PruFund Range of Funds" for further information.

13 Please refer to "The PruFund Range of Funds: Guarantee options" for the terms currently available and the charges that apply.

Section 7 – Taking regular withdrawals

Please read the Key Features document when completing this section.[14, 15, 18]

When regular withdrawals start or change, the amount requested during any 12 month period cannot exceed the greater of 5% of the full value of your plan, or if selected at the start of your plan, 5% of the total amount you paid in. If you stop regular withdrawals, you may not be able to re-start them later. Please see your Key Features document for more information.

Minimum payment £75, US $112.50, or Euro €112.50

1. I/We wish to receive [ ] every year **or** [ ] % of my/our initial investment into the bond
   or [ ] % of the value of my/our bond every year
2. Payable at these intervals Every month [ ] Every three months [ ] Every six months [ ] Every 12 months [✔]
3. Date you wish regular payments to start[16] [ ] *(must be at least 30 days after the start of your bond)*

Name of bank: Barclays Private Clients Limited

Address: Victoria Street, Douglas, Isle of Man

Postcode IM99 1AJ

Account name[16]: Momentum Pensions Client Account

Account number: 0 3 1 9 7 2 7 1

Sort code: 2 0 – 2 6 – 7 4

IBAN: GB50BARC20267403197271 (Sterling)

SWIFT-BIC: BARCGB22

5. **For payments by cheque – only where Direct Credit facility is not available and the payment does not exceed £5,000, US $5,000 or Euro €5,000.**

Name of payee(s)

Address payment to be sent to

Postcode

6. **For payments by Telegraphic Transfer**[17]

Name of bank or building society

Address

Postcode

Account name

Account number

Sort code – –

IBAN

SWIFT-BIC

Notes

14 If you are in any doubt as to how your regular withdrawal will be taxed you should consult your Financial Adviser. Tax may be payable where you make a regular withdrawal from your bond. Any tax liability may vary depending on your individual circumstances including, but not limited to, your tax residency status and the size of any regular withdrawal you make from your bond. Prudential International Assurance cannot, under any circumstances, undertake tax calculations for bondholders or their advisers. If you have selected a PruFund Protected Fund and wish to take regular withdrawals, the amount guaranteed will be adjusted proportionately for any withdrawals you have made.

15 Regular withdrawals from a PAC With-Profits Funds may be subject to a Market Value Reduction. Regular withdrawals will be taken by cancelling units across all bond policies and funds in your bond. If you have made two or more investments, we will cancel units in proportion to the value of units in each.

16 Normally we make the first payment at the end of the period you choose, for example, monthly payments start a month after the bond is set up. Only enter a date if you want your withdrawals to start later. Regular payments will usually reach your account within five working days of the date you have chosen.

17 Prudential International does not currently charge for payments made by Telegraphic Transfer but may do so in the future. In addition, banks including the recipient bank may levy further charges.

18 For all payments by Direct Credit or Telegraphic Transfer, Prudential International requires documentation to verify that the account is held in the name(s) of the policyholder(s). This can be provided by submitting a certified copy of a bank statement, or other suitable document, for the account to which funds are to be sent. We will require certified personal identification and address verification for any joint account holder in order to comply with all legal and regulatory obligations.

Payments cannot be made until full Bank Account verification is received.

Section 8 – Person(s) to be covered by the bond

If you have selected the Capital Redemption option you do not need to complete this section. **Please go to Section 9**.

The bond can be used to cover the lives of up to 10 people

1. Applicant(s) to be covered by the bond: *(please tick)* First *(or only)* ☐ Applicant 2 ☐ Applicant 3 ☐ Applicant 4 ☐

If more than one person is to be covered by the bond, please state relationship between applicants:

2. If the death benefit is to be payable on first death please tick this box ☐

If not ticked, we will assume that the death benefit is to be payable on the death of the last surviving person.

If only the applicant(s) is/are to be covered by the bond, **Please go to Section 9**.

3. Person(s) to be covered by the Bond who are not Applicants.

If anyone is to be covered by the Bond in addition to or instead of the Applicants, please complete the rest of this Section. Please note that naming a person as a Person to be covered by the Bond does not of itself give that person any financial right or interest in the Bond.

Person to be covered by the bond (if applicable)

Surname

Forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Sex Male ☐ Female ☐

Date of birth D D M M Y Y Y Y

Relationship to Applicant

Underlying Trust Member

Each person to be covered must be aged 3 months or over.

Where more than one person is to be covered by the bond and the death benefit is to be payable

- on the first death, all lives to be covered must be under age 90, or
- on the last death, at least one person to be covered must be under age 90.

Personal investment – You can include your family as named lives assured. Please indicate the relationship of each life assured to you, for example, "husband", "wife", "son" or "daughter".

Trust/Trustee investments – Please indicate the status of each life assured in relation to the Trust, for example "Beneficiary", "Settlor" or "Trustee".

Company investments – Please indicate the status of each life assured in relation to the company, for example "Director", "Chairman", "Employee" or "Shareholder".

If you are naming persons to be covered in addition to or instead of the applicant(s), please complete the relationship box as follows:

Additional person(s) to be covered by the bond who are not applicants[19]

Surname

Forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Sex Male ☐ Female ☐

Date of birth D D M M Y Y Y Y

Relationship to Applicant

Surname

Forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Sex Male ☐ Female ☐

Date of birth D D M M Y Y Y Y

Relationship to Applicant

Notes

19 The bond can cover up to 10 persons. If necessary please photocopy this page, complete and send in with this application.

Section 9 – Beneficial owner details[20]

Please list ALL individuals with an interest of 25% or more of the asset.

Failure to provide all requirements will result in a delay in your application being processed.

First or only beneficial owner[21]

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Address

Country

Postcode

Date of birth D D M M Y Y Y Y

Holding % (whole % only)

Capacity

(eg: director, settlor, beneficiary, trustee)

Second beneficial owner (if applicable)

Surname

Full forenames

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Address

Country

Postcode

Date of birth D D M M Y Y Y Y

Holding % (whole % only)

Capacity

(eg: director, settlor, beneficiary, trustee)

Notes

20 Prudential International Assurance plc requires this information so that we can meet our legal and regulatory obligations. We have a requirement to identify and verify those persons or entities that are beneficial owners of a trust, nominee holding or other arrangement that has applied for a bond. A beneficial owner is a person or entity that has an interest of 25% or more in the bond.

21 If there are more than two beneficial owners with an interest of 25% or more, please photocopy this page, complete and return with the application.

We will require "documents verifying" address and personal identification for all beneficial owners with an interest of 25% or more.

Please provide details as follows:

Non-corporate trusts

- Each individual named beneficiary of the trust entitled to an interest of 25% or more of the trust assets
- Settlors of the trust – individuals who have acted as Settlor in the creation of the trust

Corporate trusts (including QROPS providers)

- Each individual named beneficiary of the trust entitled to an interest of 25% or more of the trust assets
- Where the beneficiary is not an individual, i.e. it is a corporate body, any individual who controls that corporate body and/or has an interest in it of 25% or more.
- Settlors of the trust – individuals who have acted as Settlor in the creation of the trust Where the Settlor is not an individual, i.e. it is a corporate body, any individual who controls that corporate body and/or has an interest in it of 25% or more.

Section 10 – Declaration

1. Confirmation by each Applicant

This Application should be read and the Declaration signed by the person(s) who is/are to own the bond.

Please read the key features document, as this will provide you with important information regarding the key risks and benefits of the product(s) to help you make a decision. Please also read the International Prudence Bond Fund Guide, available from your Financial Adviser, as this will provide you with full details of the funds available, their objectives, Prudential International's risk rating of these funds and charges to help you select the funds suitable for your needs.

For your own benefit and protection, you should read carefully the documentation provided before signing this form. You should also read carefully any further documentation provided to you in the future. If there is anything you do not understand, please ask your Financial Adviser for further information.

You must be aged 18 or over to be the owner of the bond.

I confirm that:

a) the information given in this Application Form and any schedule or other document relating to this application, including information given in section 9 regarding beneficial owner(s), is true and complete to the best of my knowledge and belief.

b) I have not concealed or omitted any material facts (and to the best of my knowledge and belief no other person involved in this application has concealed or omitted any material facts).

c) I will notify you of any material facts I become aware of before the contract starts.

d) I have read the warning regarding material facts on page 11 and I understand that failure to disclose a material fact may affect entitlement to benefits under the contract and could result in the contract being void.

e) I understand that any additional payments made into the bond will be invested on the same basis as the initial payment unless otherwise stated and agreed.

f) I understand that a sole applicant, or, if there is more than one applicant, any one of the applicants, has the right to cancel the contract within 30 days of receiving the cancellation notice.

g) I acknowledge and accept that for personal investments, Prudential International will take the country of my main residential address, (the country where I normally live), to be my country of tax residence for any obligatory tax reporting/paying purposes.

h) I acknowledge and accept that for Trust/Trustee investments, Prudential International will take the country of tax residence of the Trust as the residency of the Trust for any obligatory tax reporting/paying purposes.

i) I acknowledge and accept that for company investments, Prudential International will take the country of tax residence as the company's country of residence for any obligatory tax reporting/paying purposes.

j) I authorise Prudential International and all other companies in the Prudential Group to provide details of all data (including personal data) disclosed by me in conjunction with my application for a policy, to any regulatory, tax or revenue authority and as otherwise required by law.

k) I will notify Prudential International if I change the country in which I reside, if the rights conferred by the contract come to be held subject to a Trust, or are transferred to another individual or body.

l) as a consequence of my investment and where applicable, I authorise and direct Prudential International to pay on my behalf all taxes, levies and other similar payments payable to my home country's taxation and revenue authorities from the funds from which the bond derives its value, which I acknowledge will result in a corresponding reduction in the value of the bond. I confirm that I have sought taxation advice in the country in which I am resident unless I consider my personal knowledge is such that I do not have to do so.

m) I understand that a copy of the terms and conditions, and the completed Application Form, are available on request.

n) I understand calls may be recorded or monitored for quality, staff training, dispute resolution and/or security purposes.

o) I acknowledge and accept that the validity, construction and performance of any contract entered into between Prudential International and myself shall be governed by English Law and that any dispute arising under or in connection with the contract shall be subject to the exclusive jurisdiction of the English Courts to which I hereby submit.

p) each person to be covered by the bond consents to this application (and/or the consent of a parent or guardian has been given for any person to be covered by the bond who is under 18 or otherwise lacks legal capacity).

2. Storing and using your information for each applicant

How we use your personal data

Prudential International Assurance plc is the data controller for the purposes of the Data Protection Acts, 1988 and 2003 (as amended) (**Acts**). By signing below you indicate your consent to Prudential International Assurance plc and its service provider, Capita Life & Pensions Services (Ireland) Limited which forms part of the Capita Group, companies within the Prudential Group* and its business partners holding, processing and using your information in order to decide upon your application for a life assurance policy (including any renewals or new life assurance products) and for administration, customer services, marketing and profiling your purchasing preferences, management, risk assessment, research and statistical analysis and marketing purposes. For Tax residency and Tax Identification numbers, this information is collected for the sole purpose of sharing with any current or future Inter-governmental agreements required under Irish legislation.

For certain products, we may search the files of credit reference agencies that will record any credit searches on your file. This is to help us make decisions about you, to prevent fraud, to check your identity and to prevent money laundering. We may disclose details of how you conduct your account to such agencies. The information will be used by other credit grantors for making decisions about you and the people with whom you are financially associated, for fraud prevention, money-laundering prevention and occasionally for tracing debtors.

The information may be used to recheck these purposes. We will pass your information to any legal or regulatory body if required to do so.

Section 10 – Declaration – continued

Prudential International Assurance plc and companies within the Prudential Group* may use your information to inform you (including by telephone) of other products and services offered by them, or, we will not send you any information if the box below is ticked:

I do not wish to be contacted ✔

You have a right to apply for a copy of the information held by us about you (for which a small charge, not exceeding €6.35, may apply) and you have a right to have any inaccuracies in your information corrected. Please send your request in writing to the Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland. We shall respond as soon as reasonably possible and at the latest within 40 days of the date of your request.

Prudential International Assurance plc may transfer and disclose your personal information to other companies within the Prudential Group for the purposes above. This may involve the transfer of personal information to countries outside of the European Economic Area, including countries which may not have adequate data protection laws in place. A full list of countries to which your data may be transferred is available to you on request. On transferring personal data, Prudential International Assurance plc will take appropriate measures to ensure the security and integrity of your personal information.

By signing below you further indicate your consent to the transfer of your personal data outside of the European Economic Area for purposes set out above.

To prevent and detect fraud we may share your data with other organisations, including the police, and check and/or file your data with fraud prevention agencies and databases, and if we are given false or inaccurate information and we suspect fraud, we will record this. We may also disclose your information to third parties in order to comply with any legal or regulatory obligation.

From time to time we may survey our customers regarding the level of our service. Please tick here if you do not wish to be included in any future survey.

If you provide us with information of any other person, you confirm that they consent to the processing of their personal information in the manner set out above and that you have fully informed them of:

- the purposes for which their information will be processed;
- to whom their information may be disclosed; and
- their right to apply for a copy of their information that is held by us and their right to have any inaccuracies in their information corrected.

If you have any questions regarding our processing of your personal information, please contact the Data Protection Officer at Prudential International Assurance plc, Montague House, Adelaide Road, Dublin 2, Ireland.

* The Prudential Group means our ultimate holding company, Prudential plc, and its subsidiaries.

3. Application – by the applicant(s)

Please issue the bond on the basis specified in this application form.

It is our normal procedure to issue policy documents direct to the policyholder. If you would prefer us to issue these to your Financial Adviser, please tick the box below.

Please issue policy documents to my/our Financial Adviser ☐

4. Signatories – to be signed by each Applicant[22]

First (or only) Applicant

Signature

✗

Name

Date D D M M Y Y Y Y

Further Applicant (if applicable)

Signature

✗

Name

Date D D M M Y Y Y Y

Notes

22 If there are more than two applicants please photocopy this Declaration, complete and send in with this application.

Section 10 – Declaration – continued

Warning – material facts

A material fact is any fact which might influence the assessment and acceptance of your application. A material fact may affect our decision on whether your Application is acceptable and whether the contract should be subject to any special terms. Failure to disclose a material fact may affect entitlement to benefits under the contract and could result in the contract being void. The need for disclosure applies to any material fact you become aware of when you submit the Application. It also applies to any material fact you become aware of before the contract starts. If you are in any doubt whether certain facts are material, these facts should be included.

Data Protection Acts 1988 & 2003

The Company is registered with the Data Protection Commissioner and will comply with the Irish Data Protection Acts 1988 and 2003 in the processing of this Application and the maintenance and record keeping of any contract(s) concluded as a result of this application.

Section 11 – Declaration of residence outside Ireland

Each Applicant must read these definitions and then complete the declaration.

Please note that the declaration below regarding non-residence in Ireland must be completed before we can make payments.

Important Irish "Exit" Tax may be applied to the bond (for example on payments from the bond) if this declaration is not completed.

Residence definition – company

A company which has its central management and control in Ireland (the State) is resident in the State irrespective of where it is incorporated. A company which does not have its central management and control in Ireland but which is incorporated in the State is resident in the State except where:

- the company or a related company carries on a trade in the State, and either the company is ultimately controlled by persons resident in EU Member States, or resident in countries with which the Republic of Ireland has a double taxation treaty, or the company or a related company are quoted companies on a recognised Stock Exchange in the EU or in a tax treaty country, or
- the company is regarded as not resident in the State under a double taxation treaty between the Republic of Ireland and another country.

It should be noted that the determination of a company's residence for tax purposes can be complex in certain cases and declarants are referred to the specific legislative provisions which are contained in section 23A Taxes Consolidation Act 1997.

Residence definition – individual

An individual will be regarded as being resident in Ireland for a tax year if s/he either:

- spends 183 days or more in the State in that tax year, or
- has a combined presence of 280 days in the State, taking into account the number of days spent in the State in that tax year together with the number of days spent in the State in the preceding year.

Presence in a tax year by an individual of not more than 30 days in the State will not be reckoned for the purpose of applying the two-year test. Presence in the State for a day means the personal presence of an individual at any time during the day.

Ordinary residence definition – individual

The term "ordinary residence" as distinct from "residence" relates to a person's normal pattern of life and denotes residence in a place with some degree of continuity. An individual who has been resident in the State for three consecutive tax years becomes ordinarily resident with effect from the commencement of the fourth tax year.

An individual who has been ordinarily resident in the State ceases to be ordinarily resident at the end of the third consecutive tax year in which s/he is not resident. Thus, an individual who is resident and ordinarily resident in the State in 2008 and departs from the State in that year will remain ordinarily resident up to the end of the tax year 2011.

Section 11 – Declaration of residence outside Ireland – continued

Declaration of residence outside Ireland

Policyholders and trustees resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payments without deduction of Irish tax.

I/We* declare that (* Delete as appropriate)

- I/We* have read the explanation of the terms detailed in the "residence definitions" above.
- I am/We are/The Company is* the policyholder in respect of which this declaration is being made.
- I am/We are/The Company is* not resident or ordinarily resident in Ireland.

If you are making this declaration whilst in the process of setting up your policy, setting up a series of regular withdrawals or making a first partial encashment:

- I/We/The Company* hereby undertake(s) to inform Prudential International of any change in my/our/the Company's* country of residence during the life of the policy.

Full name

Principal place of residence/address of policyholder(s)/registered office address of company:

Momentum Pensions Limited
Westmoreland House, 32 Circular Road, Douglas,
Isle of Man
Postcode IM1 1AE

Signature(s) of policyholder(s) or authorised signatory:

✗

Date of this declaration: D D M M Y Y Y Y

Full name

Principal place of residence/address of policyholder(s)/registered office address of company:

Momentum Pensions Limited
20 Athol Street, Douglas,
Isle of Man
Postcode IM1 1JA

Signature(s) of policyholder(s) or authorised signatory:

✗

Date of this declaration: D D M M Y Y Y Y

If there are more than two applicants or trustees please photocopy this declaration, complete and send in with this application.

This form may be subject to inspection by the Irish Revenue Commissioners. It is an offence under Irish Law to make a false declaration.

This declaration must be signed by policyholders and trustees who are neither resident nor ordinarily resident in Ireland or by personal representatives signing on behalf of deceased persons. Where the policyholder is a company, the declaration must be signed by the company secretary or such other authorised officer. It may also be signed by a person who holds power of attorney from the policyholder. A copy of the power of attorney should be enclosed with this declaration.

Section A – To be completed by your Financial Adviser

Please complete the following and ensure that all necessary documentation accompanies this application form. Failure to provide all relevant information will result in a delay to the application being processed. Please note that interest will not be credited to payments received prior to the receipt of all documentation requirements.

Please tick the appropriate box below to show if there are any trust arrangements for the bond.

(i) At issue trust ☐ if your client wants to issue the bond under trust

(ii) After issue trust ☐ if your client intends to out the bond in trust after issue

(iii) No trust arrangements ☐ if the bond is not going to be in a trust

I/We certify that to the best of my/our knowledge the intended beneficiaries of the trust/nominee company are not resident in a country on Prudential International"s prohibited list (as published by Prudential International). ☐

Financial Adviser checklist

- I enclose personal identification[23] of all Applicant(s)/Trustee(s)/Third Party(ies)* /Beneficial Owners ☐
- I enclose address verification[23] for all Applicant(s)/Trustee(s)/Third Party(ies)* /Beneficial Owners ☐
- For personal Investments the applicants have fully completed the Tax Residency questions ☐
- For corporate and non-corporate trusts, SIPP's, QROPS and company applications the beneficial owners with an interest of 25% or more have been identified in section 9 ☐
- For corporate and non corporate trusts, SIPP's, QROPS, and company applications the Tax Residency Self-Certification Form – INVF11804 has been completed and submitted with this application ☐
- If paying by cheque, please make cheque payable to Prudential International ☐
- Trust Declaration (if the bond is to be under Trust at issue) ☐
- Completion of adviser details and commission (page 15) ☐
- If Regular Withdrawals are required I enclose verification of the Client's Bank Account ☐
- For **Jersey Resident applicants** please complete **Section B** if commission is required ☐
- For **Jersey Resident applicants** where Section B cannot be completed please **DO NOT** complete Commission details. ☐

* Please delete as applicable

Notes

23 To meet our legal and regulatory obligations we require certified copies of documents from the lists below to verify personal identification and address "for all relevant parties". Copies can be certified by an authorised Financial Adviser.

For personal ID: current signed passport, current UK/EU/Channel island or Isle of Man photo card driving licence, State ID card form an EU member state, Channel Island or Isle of Man.

For address verification: bank, building society or credit union statement or passbook containing address (no greater than six months old), utility bill, mobile phone bill or credit card bill (up to 6 months old), local authority tax bill (current year), Current UK/EU Channel island or Isle of Man photo card driving licence (but not if being used for personal ID), bank, building society or credit union statement or passbook containing address (no greater than six months old), mortgage statement from recognised lender (within last 12 months), solicitor's letter confirming house purchase/proof of previous address (for clients in between property moves).

For bank account verification: A formal document from the bank, building society or credit union such as a statement or letter, on letterhead, confirming the name(s) in which the account is held, the Full account number and sort code, BIC/ IBAN /Swift code as appropriate.

For full details, please see Anti-money laundering requirements IPBB10303.

Section A – To be completed by your Financial Adviser – continued

Adviser name		Address	
Firm Ref No			
Tel number			
Fax number			Postcode
E-mail address			

Commission

(please complete ALL boxes)

(a) Initial Commission %

Initial Commission can be given up in favour of Renewal Commission at the rate of 0.1% of Renewal Commission for each 0.6% of Initial Commission.

(b) Renewal Commission you wish to take %

(c) Commission to be given up to the bond to increase the allocation rate in the bond %

Section B – Jersey resident applicants only

For applicants resident in Jersey ONLY.

For submission of applications from 31 December 2013, where you have elected to receive commission, you must confirm the following:

I confirm that I have undertaken an adequate assessment of this applicant and I have classified them as an Elective Professional Client in accordance with the Jersey Codes of Practice for Investment Business and I have complied with all associated obligations contained within the relevant sections of the abovementioned Codes. ☐

Please provide a separate, written declaration on appropriate letterhead, signed by an authorised signatory of the Firm, confirming the above and submit along with this Application Form.

NB: Where you have elected to receive commission, failure to tick the above box and provide the written declaration will result in a delay in this Application being processed.

PRUDENTIAL INTERNATIONAL

www.prudential-international.com

The registered office of Prudential International is in Ireland at Montague House, Adelaide Road, Dublin 2. Prudential International is a marketing name of Prudential International Assurance plc, a life assurance company operating from Ireland. Registration No. 209956. Telephone number + 353 1 476 5000. Prudential International Assurance plc is authorised by the Central Bank of Ireland.

INVF10248 05/2016