



APPLICATION TO OPEN AN ACCOUNT WITH THE

ARDAN INTERNATIONAL

WEALTH PLATFORM

(PENSION TRUSTEE)





# Application to Open an Account with the ARDAN INTERNATIONAL WEALTH PLATFORM

This form should be completed by you if you wish to manage your investments using the facilities offered by the Ardan International Wealth Platform. The Ardan International Wealth Platform offers custody and online facilities enabling customers to view, manage and switch investments, whether directly or by their Independent Financial Adviser (“FA”) or any other authorised person acting on their behalf (for ease we just refer to FA in this application).

The Client Portfolio Account is subject to the Ardan International Terms & Conditions (“Ardan International T&Cs”) and to the Ardan International Pricelist, both separate documents. By signing this application you confirm having received the current version of the Ardan International T&Cs and Ardan International Pricelist and agree with all the provisions included therein as well as those contained in this application. These provisions also apply to all Client Portfolio Accounts which are opened by you now or at a later date.

Should any of the provisions in this application or in the Ardan International T&C’s be found to be void or invalid in part or in full, the remainder of the provisions herein and in the Ardan International T&C’s shall remain valid notwithstanding.

Please note that all investment services provided by the Ardan International wealth platform are strictly on an execution only basis. Ardan International is not obliged to and does not verify the appropriateness and/or suitability of your investment/s or other services provided.

## 1

### CONTACT INFORMATION

Name of primary contact

Telephone number *(switchboard)*

Telephone number *(direct line)*

Company email address

## 2

### CORPORATE INFORMATION

Name of Entity

Trading Name (If Applicable)

Date of Incorporation

Country of Incorporation

Identification Number *(if applicable)*

Is company regulated  Yes  No *If yes, please provide license number and details of Regulator*

3683070

Stock Exchange and Listing Details

Registered Office Address

Principal place of business/operations (if different from Registered Office)

Postal Address (if different from Registered Office)

3

SHAREHOLDERS, MANAGEMENT AND CONTROL  
(PLEASE PROVIDE A STRUCTURE CHART)

Full names and addresses of all Shareholders

Total Percentage Shareholding

Details of any Powers of Attorney that are in operation

Full names and personal addresses of all Directors


4

PENSION DETAILS

Name of the pension

Type of pension

Date of Establishment

Country of Establishment

Official Identification Number (if applicable)

Is Pension a qualifying pension  Yes  No

5

## PENSION MEMBER DETAILS

Surname

Middle Name(s)

First Name

Title

Previous Name(s)

Date of Birth

Place of Birth

Nationality

Dual Nationality *(if applicable)*

Marital Status

Single

Married

Divorced

Civil Partnership

Gender

Male

Female

Current Physical Address. *(PO Box or c/o addresses are not acceptable)*

6

## EMPLOYMENT WHICH GENERATED PENSION CONTRIBUTIONS

Employed

Self employed

Name of employer

Employer's Address

Nature of Business Activities

Source of funds *(details of the transaction that generated the funds to be invested and details of account from where the funds are being transferred from).*

7

DISBURSEMENT ACCOUNT

Account Name

Account Number

Sort Code

BIC

IBAN

Bank Name/ Full Address

PLEASE NOTE/Disbursements will only be made to an account in the name of the pension i.e. no third party payments

8

AMOUNT TO BE INVESTED

Amount to be invested in figures

Amount in words

Portfolio Name\*

Currency

\* This is the name that you want your account with us to be in.

9

FINANCIAL ADVISER APPOINTMENT & COMMISSIONS

My/our signature(s) below confirm(s) that I/we authorise:

a) authorise ..... (FA Firm Name) with FA Number .....

represent us in respect of our business relationship with Ardan International, and

(b) authorise Ardan International to deduct the following FA fees and commissions from my/our Ardan International account/s:

Financial Adviser Management Fee

Managed Portfolios	% p.a. on Ardan International Platform assets (accrued and paid monthly) (Max 3% p.a.)
Model Portfolios	% p.a. on Ardan International Platform assets (accrued and paid monthly) (Max 3% p.a.)
Discretionary Portfolios	% p.a. on Ardan International Platform assets (accrued and paid monthly) (Max 3% p.a.)
Execution only Portfolios	% p.a. on Ardan International Platform assets (accrued and paid monthly) (Max 3% p.a.)

Financial Adviser Portfolio Deposit Commission

Cash	% of the value at time of transfer in (Max 5%)
Investments	% of the value at time of transfer in (Max 5%)

Financial Adviser Trading Commissions

<b>FUNDS</b>	
Front end charged	% of the value at time of purchase (Max 5%)
Back end charged	% of the value at time of purchase (Max 5%)
<b>LISTED SECURITIES</b>	
Dealing Commission	% of the value at time of purchase (Max 5%)
<b>STRUCTURED PRODUCTS</b>	
Front end charged	% of the value at time of purchase (Max 5%)
Back end charged	% of the value at time of purchase (Max 5%)

Date

Authorised Signatory of Pension Trustee (sign & print name)



Date

Authorised Signatory of Pension Trustee (sign & print name)

10

**AUTHORISED SIGNATURES OF THE PENSION**

I/We declare that the information supplied in the attached application is complete and correct to the best of my/our knowledge and belief at the time of this Declaration.

I/We agree to provide Ardan International Limited ("AIL") with any further information as may be required.

I/We agree to notify AIL of any material changes in the information provided in this application including but not limited to, changes in beneficiary(ies) details, which may occur after the date of this application.

**I/We confirm that I/we have read and understood the Ardan International Terms and Conditions.**

Place and Date

Print Name

Authorised Signatory (sign)

Place and Date

Print Name

Authorised Signatory (sign)

11

**CONFIRMATION OF THE FINANCIAL ADVISER**

I, as the FA, confirm that:

All required and necessary steps have been undertaken to identify the client and to confirm that the client is not acting on any third party's behalf;

I have undertaken all the necessary steps in order to ensure that the account and its owners are not part of a money-laundering scheme;

I have provided a detailed explanation of the FA fees and/or commissions to be deducted; I have given the client the current Ardan International T&C's and Ardan International Pricelist governing the Ardan International account.

Financial Adviser Name

Financial Adviser Number

Financial Adviser Signature (sign)

Place and Date





Ardan International Limited is licensed by the Isle of Man Financial Services Authority with Licence Number 1365.

Ardan International Limited registered company number is 007984V. Ardan Nominees Limited registered company number is 128166C.

The Registered trading names of Ardan International Limited are "Ardan International" and "Ardan International Wealth Platform".

Their registered office is: 2nd Floor, Goldie House, 1-4 Goldie Terrace, Upper Church Street, Douglas, Isle of Man, IM1 1EB, British Isles.